



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 09/938,866 Confirmation No. : 1109
First Named Inventor : Aruna Rohra Suda
Filed : August 24, 2001
TC/A.U. : 2151
Examiner : K. DINH

Docket No. : 103251.58981US
Customer No. : 23911

Title : System and Method for Saving and Managing Browsed Data

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 AND 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, the attached Form PTO-1449 lists documents which the Examiner may deem relevant to patentability of the claims of the above-identified application.

I. Time Period of Submission

This Information Disclosure Statement is submitted:

☐ 1) no later than three months from the application's filing date or 2) before the mailing date of the first Office Action on the merits (whichever is later) or 3) before a first Office Action after the filing of a Request for Continued Examination, and therefore no statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

☒ 2) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, a Notice of Allowance, or an action closing prosecution (*Ex parte Quayle*), (whichever is earlier), and therefore, Applicants are filing concurrently herewith:

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180.00 OP

- ☐ a Statement under 37 C.F.R. § 1.97(e); or
☒ a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

☐ 3) after either a Final Office Action or a Notice of Allowance, but before payment of the Issue Fee, and therefore Applicant is submitting herewith:

a Statement under 37 C.F.R. § 1.97(e); and

a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

II. Statement Under 37 C.F.R. § 1.97(e)

☐ I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

☐ I hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making a reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; or

III. Statement under 37 C.F.R. § 1.704(d)

☐ I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

IV. Submission of Non-English Language Documents

☒ The following is a concise explanation of relevance of the non-English language documents listed in the attached Form PTO-1449:

☐ The relevance of document(s) _____ to the subject matter of the present invention is/are provided in the specification of the above-identified application.

☐ Corresponding foreign or international report(s) citing document(s) _____, together with an English-language version(s) (if not already in English) of that portion of the report(s) indicating the degree of relevance found by the foreign office(s) is/are submitted.

☐ English language family member publication(s) of document(s) _____ is/are noted on Form PTO-1449.

☒ English language abstract(s) is/are submitted for document(s) AN-AS.

☐ English translation(s) of the foreign language document(s) _____ is/are submitted herewith.

☐ Applicant submits the following explanations:

V. Continuations/Divisionals


☐ Documents _____ were of record in parent application Serial No. _____, filed _____, from which this application claims benefit. As provided in 37 C.F.R. §1.98(d), copies of the documents are not being provided since they were previously submitted to or cited by the United States Patent and Trademark Office in the afore-mentioned parent application.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

If necessary, this paper should be considered as an authorization to charge Deposit Account 05-1323, Attorney Docket No.: 103251.58981US, for the fee set forth in 37 C.F.R. § 1.17(p).

Respectfully submitted,

June 29, 2007



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	09/938,866
		Filing Date	August 24, 2001
		First Named Inventor	Aruna Rohra Suda
		Art Unit	2151
Examiner Name	K. DINH		
Sheet 1 of 2	Attorney Docket Number	103251.58981US	

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
	AA	US- 2001/0018685	A1	08-30-2001	Saito et al.	
	AB	US- 2002/0065800	A1	05-30-2002	Moritz	
	AC	US- 2002/0078197	A1	06-20-2002	Suda et al.	
	AD	US- 2002/0147775	A1	10-10-2002	Suda et al.	
	AE	US- 5,913,214		06-15-1999	Madnick et al.	
	AF	US- 5,933,827		08-03-1999	Cole et al.	
	AG	US- 6,081,829		06-27-2000	Sidana	
	AH	US- 6,189,018		02-13-2001	Newman et al.	
	AI	US- 6,470,381		10-22-2002	De Boer et al.	
	AJ	US- 6,505,196		01-07-2003	Drucker et al.	
	AK	US- 6,516,312		02-04-2003	Kraft et al.	
	AL	US- 5,497,491		03-05-1996	Mitchell et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	AM	EP 1626354 A2		02-15-2006	Saora Kabushiki Kaisha	
	AN	JP 10-283366		10-23-1998	Matsushita Electric Ind Co Ltd	Abstract
	AO	JP 11-120191		04-30-1999	Canon KK	Abstract
	AP	JP 2000-259671		09-22-2000	Dainippon Printing Co Ltd	Abstract
	AQ	JP 2002-183210		06-28-2002	Nippon Telegraph & Telephone	Abstract
	AR	JP 2002-304399		10-18-2002	Saora Inc	Abstract
	AS	JP 9-218876		08-19-1997	Nippon Electric Co	Abstract
	AT	WO 99/12108		03-11-1999	British Telecom Public Limited Company	
	AU	WO 97/45800		12-04-1997	Massachusetts Institute of Technology	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbol as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known			
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		Art Unit	2151		
		Examiner Name	K. DINH		
Sheet	2	of	2	Attorney Docket Number	103251.58981US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AV	European Search Report dated November 13, 2006	
	AW	INTERNATIONAL BUSINESS MACHINES CORPORATION, "Saving search results in an HTML-based information center," Research Disclosure, Mason Publications, Hampshire, GB, Vol. 449, No. 148, Sept. 2001	
	AX	MAGINO, et al., "Future View: Web Navigation based on Learning User's Browsing Patterns," Proceedings of the IEEE/WIC International Conference on Web Intelligence, 2003, IEEE, pp. 541-544	
	AY	CHEN, et al., "Internet Browsing and Searching: User Evaluations of Category Map and Concept Space Techniques," Journal of the American Society for Information Science, May 15, 1998, Vol. 49, No. 7, pp. 582-603	
	AZ	CHEN et al., "WebCiao: A Website Visualization and Tracking System," Proceedings of WebNet97, 1997, 10 pages	
	BA	CHEN et al., "MetaSpider: Meta-Searching and Categorization on the Web," Journal of the American Society for Information Science and Technology, 2001, Vol. 52, No. 13, pp. 1134-1147	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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